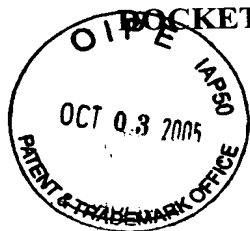


09/981516

36274

PATENT



POCKET NO.: JJCC-0003/JBP569

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Steven A. Shaya, et al.

Application No.: 09/918,516

Filing Date: October 17, 2001

For: **INTELLIGENT PERFORMANCE-BASED PRODUCT RECOMMENDATION SYSTEM**

Confirmation No.: 7651

Group Art Unit: 3627

Examiner: Thein, Maria Teresa T

DATE OF DEPOSIT: 9-29-2005

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

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REGISTRATION NO.: 46,897

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☐ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☒ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☒ The fee of **\$180.00** as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of **\$180.00** as set forth in § 1.17(p).

- ☒ Copies of reference numbers **86 thru 123** listed on the attached Form PTO-1449 are enclosed herewith.

- ☐ Copies of reference numbers - on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

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PATENT

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: 9-29-2005



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Sheet 1 of 5

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. JJCC-0003/JBP569	Application No. 09/918,516 <i>09/981-576</i>
	Applicant Steven A. Shaya, et al.	
	Filing Date October 17, 2001	Group 3627
	Confirmation No. 7651	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
	86	Downs, M., "Visualizing Longitudinal Ordinal Data Using Data Mosaics", Statistics Collaborative, Inc., Washington DC, 4 pages, No Date Available
	87	Khutoryansky, N. M., "Parameter Estimation for Incomplete Bivariate Longitudinal Data in Clinical Trials", Novo Nordisk Pharmaceuticals, Inc., Princeton, NJ, 2003 , 6 pages
	88	Mallinckrodt, C.H. et al., "Assessing Response Profiles for Longitudinal Clinical Trial Data with Subject Dropout under Regulatory Considerations", Technical Report 0221, IAP Statistics Network, Interuniversity Attraction Pole, http://www.stat.ucl.ac.be/IAP , 2002 , 22 pages
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Form PTO-1449 Modified

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Cited by Applicant
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	90	5,704,017	12/30/97	Heckerman, et al.	395	61
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	92	5,884,282	03/16/99	Robinson	705	27
	93	5,918,014	06/29/99	Robinson	395	200.49
	94	6,016,475	01/18/00	Miller, et al.	705	1
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Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
EXAMINER				DATE CONSIDERED		

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Examiner Initial		Document No.	Date	Name	Class	Subclass
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	109	2001/001309 A1	08/09/01	Greening, et al.	705	10
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					YES	NO
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	120	2004/0076936 A1	04/22/04	Horvitz, et al.	434	236
	121	2004/0176966 A1	09/09/04	Chen	705	1
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Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	123	WO 01/024094 A1	04/05/01	PCT		

EXAMINER**DATE CONSIDERED**